## Pravin Ratilal Share And Stock Brokers Ltd-Account Opening Kit

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity / Other than Individuals

#### Important Instructions: A) Fields marked with '\*' are mandatory fields. F) List of State / U.T. code as per Indian Motor Vehicle Act, 1988 is available at the end G) List of two character ISO 3166 country codes is available at the end. B) Tick '√' wherever applicable. C) Please fill the date in DD-MM-YYYY format. D) Please fill the form in English and in BLOCK letters. H) Please read section wise detailed guidelines/instructions at the end. I) For particular section update, please tick (✓) in the box available before the KYC number of applicant is mandatory for update application. section number and strike off the sections not required to be updated. For office use only Application Type\* (To be filled by financial institution) **KYC Number** (Mandatory for KYC update request) 1. ENTITY DETAILS\* (Please refer instruction A at the end) ☐ Name' (Please refer instruction B at the end) Entity Constitution Type\* Date of Commencement of Business Date of Incorporation / Formation\* Country of Incorporation / Formation\* ☐ TIN or Equivalent Issuing Country Place of Incorporation / Formation\* PAN\* Form 60 furnished **GST Registration Number** 2. PROOF OF IDENTITY (POI) (Please refer instruction B at the end) Officially valid document(s) in respect of person authorised to transact Certificate of Incorporation / Formation ☐ Registration Certificate Partnership Deed ☐ Trust Deed Power of attorney granted to its manager, officers or employees to transact on its behalf Resolution of Board / Managing Committee Activity Proof - 2 (For Sole Proprietorship Only) Activity Proof - 1 (For Sole Proprietorship Only) 3. ADDRESSS\* (Please refer instruction C at the end) 3.1 Registered Office Address / Place of Business' Registration Certificate Other Document Proof of Address' ☐ Certificate of Incorporation / Formation Line 1\* Line 2 City / Town / Village\* Line 3 Pin/Post Code<sup>3</sup> District\* State/U.T. Code\* ISO 3166 Country Code\* 3.2 Local Address in India (If different from Above) Line 1 Line 2 City / Town / Village\* Line 3 Pin/Post Code<sup>3</sup> District' State/U.T. Code\* ISO 3166 Country Code\* 4. CONTACT DETAILS (All communications will be sent to Mobile number / Email-ID provided" may be used\* (Please refer instruction D at the end) Tel. (Off) FAX Mobile Email ID Mobile Email ID 5. NUMBER OF RELATED PERSONS (Please refer Instruction E at the end) 6. REMARKS (if any) 7. APPLICANT DECLARATION (Please refer Instruction G at the end) I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am aware that may be held liable for it. I/we hereby consent to receiving inform ation from Central KYC Registry through SMS/Email on the above registered number/email address Date : Place : Signature / Thumb Impression of Applicant 8. ATTESTATION / FOR OFFICE USE ONLY Certified Copies Equivalent e-document Documents Received INSTITUTION DETAILS **KYC VERIFICATION CARRIED OUT BY** Pravin Ratilal Share And Stock Brokers Ltd. Date Name Emp./A P Name Code Emp./AP Code Emp. Designation Emp. Branch



1

# Pravin Ratilal Share And Stock Brokers Ltd-Account Opening Kit

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Nam	e of Applicant_			PAN of	the Applicant					
Sr. No.	PAN	Name	DIN (For Directors) / UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph			
						□ PEP □ RPEP □ NO	PHOTOGRAPH  Please affix the recent passport size photograph and sign across it			
						□ PEP □ RPEP □ NO	PHOTOGRAPH  Please affix the recent passport size photograph and sign across it			
						□ PEP □ RPEP □ NO	PHOTOGRAPH  Please affix the recent passport size photograph and sign across it			
						□ PEP □ RPEP □ NO	PHOTOGRAPH  Please affix the recent passport size photograph and sign across it			
						□ PEP □ RPEP □ NO	PHOTOGRAPH  Please affix the recent passport size photograph and sign across it			

Name & Signature of the Authorised Signatory(ies)	Date			/			/				
	ī	)	D		M	М		Y	Y	Υ	Y

**PEP:** Politically Exposed Person **RPEP**Related to Politically Exposed Person



# Pravin Ratilal Share And Stock Brokers Ltd-Account Opening Kit

#### CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

#### Importanat Instructions:

- A) Fields marked with '\*' are mandatory fields.
- B) Tick 'V' wherever applicable.\
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (</) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.



to be updated.											O-EIH	E PER
For office use only	New	☐ Update										
(To be filled by financial institution)	KYC Number		(Mandatory for KYC update request)									
	☐ Normal	☐ Minor [	Aadhaar OT	P based	E-KYC (in no	on-face to	lace m	ode)				
1. PERSONAL DETAILS* (Please refer instruction A at the end)												
ı	Prefix	First Name			Middle N	ame				Last	t Name	
☐ Name* (Same as ID proof)												
Maiden Name												
Father / Spouse Name										Щ		
Mother Name												
Date of Birth*		YYY										
Gender*												
PAN* Form 60 furnished												
2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)												
I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)												
☐ A- Passport Number											□РН	IOTO*
B-Voter ID Card												1010
☐ C-Driving Licence												
☐ D-NREGA Job Card												
☐ E-National Population Regist	ter Letter											
F-Proof of Possession of Aad	dhaar											
II E-KYC Authentication												
III Offline verification of Aadhaa	ır [											
Address												
Line 1*												
Line 2									Ш	$\perp$		
Line 3							/ Town / V	'illage*	Ш			
District*		Pin/Post Code*	*		State	/UT Code*			ISO 31	66 Co	untry Co	de*
3. CURRENT ADDRESS DI	ETAILS (Please refe	er instruction <b>B</b> at	t the end)									
Same as above mentioned addre	ess (In such cases addı	ress details as belo	ow need not be	provided)								
I. Certified copy of OVD or equivalent	e-document of OVD or	OVD obtained thro	ough digital KY	C process nee	ds to be s	submitted (ar	nyone of tl	he follo	wing OV	Ds)		
A- Passport Number												
☐ B-Voter ID Card												
C-Driving Licence												
D-NREGA Job Card												
☐ E-National Population Regist	ter Letter											
F-Proof of Possession of Aad	L				1 1							
II E-KYC Authentication	L											
III Offline verification of Aadhaa	ır [											
IV Deemed Proof of Address - D	k											
_	2004Mont Type code					11 11				Ш		
V Self Declaration									<b> </b>	Ш		

### Pravin Ratilal Share And Stock Brokers Ltd-Account Opening Kit Address Line 1\* Line 2 Line 3 City / Town / Village\* ISO 3166 Country Code\* District\* Pin/Post Code\* State/UT Code\* 4. CONTACT DETAILS (All communications will be sent to Mobile number/ Email-ID provided) (Please refer instruction C at the end) Tel. (Res) Mobile Email ID 5. REMARKS (If any) 6. APPLICANT DECLARATION · I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately, Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. • I hereby consent to receiving information from Central KYC Registry through SME/Email on the above [Signature/ Thumb Impression] registered number/email address. Signature / Thumb Impression of Applicant Date: D D — M M — Y 7. ATTESTATION / FOR OFFICE USE ONLY ☐ E-KYC data received from UIDAI ☐ Date received from Offline verification ☐ Digital KYC Process ☐ Certified Copies **Documents Received** ☐ Video Based KYC ☐ Equivalent e-document KYC VERIFICATION CARRIED OUT BY INSTITUTION DETAILS Date Emp. Name Emp. Code Emp. Designation

Emp. Branch



4