Nomination Form [Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601]

PRAVIN RATILAL SHARE AND STOCK BROKERS LTD.

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Form 10

Form for Nomination / Cancellation of Nomination (To be filled in by individual applying singly or jointly)



DP ID	I	N	3	0	0	9	8	2	For Office Use		
Client ID									Instruction No.	Entered By	Verified By
UCC Code											
Name of Sole/First Holder											
Name of Second Holder											
Name of Third Holder											
I / We wish to m	ake a	nomi	nation	(As p	er det	ails gi	ven b	elow)			

Nomination Details

I / We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in my Account in the event of my / our death

, .	ny risocant in the event of my real death.							
	Nomination can be made upto three nominees in the account		etails of 1st Nominee	Details of 2 nd Nominee			Details of 3 rd Nominee	
1	1 Name of the Nominees(s) [Mr./Ms.]							
	Share of Equally each	%		%		%		
2	each If not equally, please Specify percentage	Any odd lot after division shall be transferred to the first nominee mentioned in the form						
3	Relationship with Applicant [if any]							
4	Address of Nominee(s)							
		Pin		Pin		Pin		
5	5 Mobile/Telephone No.of nominee(s)							
6	Email IDs of nominee(s)							
7	7 Nominee Identification details - [Please tick any one of the following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank account no ☐ Proof of Identity		Attach here recent passport size colour photograph and sign below		Attach here recent passport size colour photograph and sign below		Attach here recent passport size colour photograph and sign below	
	Demat Account ID							
	Sr. Nos. 8-14 should be filled only if r	nomine	e is a minor			<u> </u>		
8	Date of Birth [in case of minor nominee(s)]							
9	Name of Guardian (Mr./Ms.) [in case of minor nominee(s)]							
10	Address of Guardian(s)							
		Pin		Pin		Pin		
11	Mobile/Telephone No.of Guardian(s)							
12	Email IDs of Guardian(s)							

	Sole / First Holder / Guardian	Second Ho	older	Third Holder		
X		X	X			
	☐ Proof of Identity ☐ Demat Account ID					
	Saving Bank account no					
	☐ Photograph & Signature ☐ PAN ☐ Aadhaar	and sign below	and sign below	and sign below		
14	Guardian Identification details- [Please tick any one of the following and provide details of same]	Attach here recent passport size colour photograph	Attach here recent passport size colour photograph	Attach here recent passport size colour photograph		
13	Relationship of Guardian(s) with nominee					

Witness (Only if the account holder affixes thumb impression)

Name	Address	Signature with date
	PINCODE	

Notes:

- 1. Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.
- 2. This nomination shall supersede any prior nomination made by the account holder(s), if any.
- 3. The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)
- 4. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account in held jointly, all joint holders will sign the nomination form.
- 5. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- 6. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, Subject to the exchange controls in force, from time to time.
- 7. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- 8. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- 9. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- 10. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any oligation to transfer the securities in favour of the Nominee(s).
- 11. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- 12. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- 13. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- 14. Savings bank account details shall only be considered if the account is maintained with the same participant.
- 15. DP ID and client ID shall be provided where demat details is required to be provided.